# 4-Holes in Point Sets 

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#### Abstract

We consider a variant of a question of Erdős on the number of empty $k$-gons ( $k$-holes) in a set of $n$ points in the plane, where we allow the $k$-gons to be non-convex. We show bounds and structural results on maximizing and minimizing the number of general 4-holes, and maximizing the number of non-convex 4 -holes. In particular, we show that for $n \geq 9$, the maximum number of general 4-holes is $\binom{n}{4}$; the minimum number of general 4-holes is at least $\frac{5}{2} n^{2}-\Theta(n)$; and the maximum number of non-convex 4-holes is at least $\frac{1}{2} n^{3}-\Theta\left(n^{2} \log n\right)$ and at most $\frac{1}{2} n^{3}-\Theta\left(n^{2}\right)$.


Keywords: Erdős-Szekeres type problems, $k$-holes, empty $k$-gons

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## 1. Introduction

Let $S$ be a set of $n$ points in general position in the plane (i.e., no three points of $S$ are collinear). A $k$-gon is a simple polygon spanned by $k$ points of $S$. A $k$-hole is an empty $k$-gon; that is, a $k$-gon which does not contain any points of $S$ in its interior.

In 1978 Erdős [9] raised the following question for convex $k$-holes: "What is the smallest integer $h(k)$ such that any set of $h(k)$ points in the plane contains at least one convex $k$-hole?" As already observed by Esther Klein, every set of 5 points determines a convex 4 -hole, and 10 points always contain a convex 5-hole, a fact proven by Harborth [15]. However, in 1983 Horton showed that there exist arbitrarily large sets of points containing no convex 7-hole [16]. It again took almost a quarter of a century after Horton's construction to answer the existence question for 6-holes. In 2007-08 Nicolás [18] and independently Gerken [13] proved that every sufficiently large point set contains a convex 6-hole.

Erdős also proposed the following variation of the problem [10]. "What is the least number $h_{k}(n)$ of convex $k$-holes determined by any set of $n$ points in the plane?" We know by Horton's construction that $h_{k}(n)=0$ for $k \geq 7$. For $k \leq 6$, upper and lower bounds on $h_{k}(n)$ exist; see [1] for a survey.

In this paper we generalize the latter problem by allowing a $k$-hole to be non-convex. Thus, whenever we refer to a $k$-hole, it might be convex or non-convex, and we will explicitly state it when we restrict investigations to one of these two classes.

Note that a set of four points in non-convex position might span up to three 4 -holes; that is, the number of $k$-holes can be larger than $\binom{n}{k}$, the maximum number of convex $k$-holes. Further, note that the number of $k$ holes (of whatever type) only depends on the combinatorics of the point set, and is thus determined by its order type; see [14, 6, 2].

We first investigate point sets of small cardinality (Section 2), and then consider the following tasks: maximizing the number of 4-holes (Section 3), maximizing the number of non-convex 4-holes (Section 4), and minimizing the number of 4-holes (Section 5). In addition to lower and upper bounds on their number, we also show which families of point sets achieve these bounds. Table 1 gives an overview on the bounds on the minimum and maximum numbers of general and non-convex holes. It also contains information about the classes of point sets achieving these bounds.

| 4-hole type | minimum number | maximum number |
| :---: | :---: | :---: |
| general | $\begin{aligned} \geq & \frac{5}{2} n^{2}-\Theta(n)[\text { Thm. } 6] \\ & \text { (all sets) } \\ \leq & O\left(n^{\frac{5}{2}} \log n\right)[3] \\ & \quad \text { "squared Horton sets" }[20]) \end{aligned}$ | $\begin{aligned} = & \binom{n}{4} \text { for } n \geq 9[\text { Thm. } 2] \\ & \text { (convex sets) } \end{aligned}$ |
| non-convex | $\begin{aligned} = & 0 \\ & \text { (convex sets) } \end{aligned}$ | $\begin{aligned} \leq & \frac{n^{3}}{2}-\Theta\left(n^{2}\right)[\text { Lem. 3] } \\ & (\text { all sets }) \\ \geq & \frac{n^{3}}{2}-\Theta\left(n^{2} \log n\right)[\text { Thm. 4] } \\ \quad & \text { ("mostly convex" sets [17]) } \end{aligned}$ |

Table 1: Bounds on the minimum and maximum numbers of general and non-convex 4-holes, together with the classes of point sets achieving them.

A natural generalization of this work is to consider similar questions for larger $k$-holes, i.e., $k>4$, as well as $k$-gons (for 4 -gons, these questions are directly related to the rectilinear crossing number). During the preparation of the full version of this paper, we have been able to obtain some results in this direction. In [5], we show that also the number of non-convex 5 -gons can be expressed in terms of the rectilinear crossing number. Further, we provide an improved lower bound for the minimum number of convex 5 -holes and show that the principles of Theorems 2 and 6 can be used to obtain similar results for $k=5$. In a forthcoming paper, see [3] for an extended abstract, we investigate the asymptotic behavior of the numbers of $k$-gons and $k$-holes in large point sets. Most noteworthy, we provide an upper bound of $O\left(n^{\frac{k+1}{2}}(\log n)^{k-3}\right)$ for the minimum number of general $k$-holes (for any constant $k \geq 4$ ) and show that for large $n$, this number is still maximized by $n$-point sets in convex position. We also consider the case where $k$ is not constant with respect to $n$. For example, we show that if $k$ is a sufficiently large linear fraction of $n$, then the convex set contains strictly less $k$-holes than the double chain [12] of same cardinality. The PhD thesis [21] summarizes most results obtained for $k \geq 4$.

Note that while the proof for the variation of Theorem 2 in [3] is simpler than the according proofs in [5] and in the work at hand, it provides weaker results with respect to the obtained bounds on the cardinality of the point sets. In particular, it leaves a gap for $k=4$, while Theorem 2 in this paper is best possible in that sense.

## 2. Small Sets

Even to determine the number of 4-holes in point sets of small cardinality is surprisingly intriguing. For $n \leq 11$, Table 2, which is partly taken from [1], shows the minimum number of convex 4 -holes, the maximum number of nonconvex 4-holes, the minimum and maximum number of (general) 4-holes, and, for easy comparison, the number of (unordered) 4 -tuples. The numbers are calculated using a straight-forward program and the order type database [6, $2]$.

| $n$ | $\begin{gathered} \text { convex } \\ \text { min } \end{gathered}$ | $\begin{aligned} & \text { non-convex } \\ & \max \end{aligned}$ | general |  | $\binom{n}{4}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | min | max |  |
| 4 | 0 | 3 | 1 | 3 | 1 |
| 5 | 1 | 8 | 5 | 9 | 5 |
| 6 | 3 | 18 | 15 | 22 | 15 |
| 7 | 6 | 36 | 35 | 43 | 35 |
| 8 | 10 | 64 | 66 | 77 | 70 |
| 9 | 15 | 100 | 102 | 126 | 126 |
| 10 | 23 | 150 | 147 | 210 | 210 |
| 11 | 32 | 216 | 203 | 330 | 330 |

Table 2: Number of 4 -holes for $n=4, \ldots, 11$ points; see [1] for details.

Obviously, the maximum number of convex 4-holes is $\binom{n}{4}$, obtained by sets in convex position. For minimizing the number of convex 4 -holes, the currently best known bounds are $\frac{n^{2}}{2}-\frac{9}{4} n-o(n) \leq h_{4}(n) \leq 1.9397 n^{2}+o\left(n^{2}\right)$, where the upper bound is by Bárány and Valtr [7], and the lower bound is by García [11] and Aichholzer et al. [4]. A result of independent interest is by Pinchasi et al. [19], who showed $h_{4}(n) \geq h_{3}(n)-\frac{n^{2}}{2}-O(n)$. Currently, the best known bound on $h_{3}(n)$ is $h_{3}(n) \geq n^{2}-\frac{32}{7} n+\frac{22}{7}[11,4]$. Thus, improving the dominant factor in the lower bound for $h_{3}(n)$ would also imply a better lower bound for $h_{4}(n)$.

For $n=4, \ldots, 7$ it can be seen from Table 2 that the minimum number of 4 -holes is $\binom{n}{4}$. In contrast, $\binom{n}{4}$ is the maximum number of 4 -holes for $n=$ $9,10,11$. This implies that convex sets are minimizing examples for $n \leq 7$ and maximizing examples for $n=9,10,11$. So the structure of extremal sets seems to switch.

Figure 1 shows point sets maximizing the number of 4 -holes for $n=$ $4, \ldots, 8$. The results for $n \geq 9$ suggest that sets in convex position might
maximize the number of 4 -holes for $n \geq 9$. Indeed, this will be the first result we prove for general 4-holes (Section 3).

$n=8: 20 / 57 / 77$
Figure 1: Point sets maximizing the number of 4 -holes for $n=4, \ldots, 8$. Shown are the number of convex, non-convex, and general 4 -holes. With the exception of $n=7$, each point set represents the unique maximizing order type.

Figure 2 shows two extremal sets for $n=11$ points. Each point set represents the unique order type which reaches the extreme value. The left set maximizes the number of non-convex 4-holes, namely 216 , and consists of a convex 5 -hole inside a convex 6 -gon. The total number of 4 -holes in this set is 267 ; i.e., it contains in addition 51 convex 4 -holes. The set on the right side minimizes the number of general 4-holes. It contains 51 convex and 152 non-convex 4-holes, thus in total the minimum of 203 4-holes.

## 3. Maximizing the Number of (General) 4-Holes

In this section we show that for large enough $n$, the number of 4 -holes is maximized by convex sets, as suggested by the enumerative results.

Lemma 1. Let $\Delta$ be a non-empty triangle in $S$. There are at most three non-convex 4 -holes spanned by the three vertices of $\Delta$ plus a point of $S$ in the interior of $\Delta$.

Proof. Let $p_{1}, p_{2}$, and $p_{3}$ be the vertices of $\Delta$. Observe that any non-convex 4 -hole has to use two edges of $\Delta$. Thus there are three choices for the unused


Figure 2: Two unique extremal sets for $n=11$ points: (a) maximizes the number of non-convex 4-holes, and (b) minimizes the number of general 4-holes.
edge of $\Delta$, and for each choice there is at most one way to complete the two used edges of $\Delta$ to a 4 -hole. Assume to the contrary that two different 4-holes avoid the edge $p_{2} p_{3}$ and use points $q_{1}$ and $q_{2}$, respectively, in the interior. Then $q_{2}$ lies outside the two triangles formed by $p_{1} q_{1} p_{2}$ and $p_{1} q_{1} p_{3}$. Thus $q_{2}$ lies in the triangle formed by $p_{2} q_{1} p_{3}$. But then $q_{1}$ must lie in one of the triangles spanned by $p_{1} q_{2} p_{2}$ and $p_{1} q_{2} p_{3}$, a contradiction.

Theorem 2. For $n \geq 9$, the number of 4-holes is maximized by a set of $n$ points in convex position.

Proof. In the following we assign every non-convex 4-tuple of points to the three vertices of its convex hull and call this the representing triangle of the potential non-convex 4-holes. By Lemma 1, any non-empty triangle represents at most three 4 -holes, and any convex 4 -tuple gives at most one 4-hole.

Let $T=T(S)$ be the number of non-empty triangles in a point set $S$. As any non-empty triangle induces at least one 4 -tuple in non-convex position, we get

$$
\begin{equation*}
\binom{n}{4}-T+3 T=\binom{n}{4}+2 T \tag{1}
\end{equation*}
$$

as a first upper bound on the number of 4 -holes in $S$.
Note that a triangle $\Delta$ with $k \geq 1$ interior points is counted $k+2$ times in (1), namely $k$ times in the $\binom{n}{4}$ 4-tuples and twice in the term $2 T$, as $\Delta$ is non-empty. Thus for $k>1$ we have over-counted the number of nonconvex 4-holes assigned to $\Delta$; cf. Lemma 1. Moreover, many of the convex 4 -gons might not be empty and thus not 4-holes. Therefore we now analyze
how many 4-holes can be deduced from (1) as overcounted. We will do this by assigning (possibly multiple) markers for over-counted 4-holes to convex 4 -tuples and non-empty triangles.

As above, let $\Delta$ be a triangle with $k \geq 1$ interior points, and consider all 4 -tuples consisting of the three vertices of $\Delta$ plus an extra point $p$. We distinguish two cases.

Case 1. Let $p$ be one of the $n-k-3$ points outside $\Delta$. If the resulting 4 -tuple is convex, we mark this 4 -tuple, as it is not empty and thus not a 4 -hole. If the 4 -tuple is non-convex, we mark the triangle which represents the potential non-convex 4-hole, as at least one of the three possible 4-holes of this 4 -tuple is non-empty.

Case 2. Consider the $k$ points inside $\Delta$. As argued above, $\Delta$ was counted $k+2$ times. But by Lemma 1 , there are at most three 4 -holes using one interior point of $\Delta$ and thus represented by $\Delta$. Therefore we assign $k-1$ markers to $\Delta$.

Adding up both cases, we have distributed $n-k-3+(k-1)=n-4$ markers while considering $\Delta$. Repeating this for all non-empty triangles, we obtain a total of $(n-4) \cdot T$ markers.

A non-empty convex 4 -tuple might have received up to 4 markers in this way, one from each of its sub-triangles. That is, we have at most 4 times as many markers as convex 4 -tuples which we can reduce from the upper bound (1).

A non-empty triangle $\Delta$ with $k \geq 1$ interior points might have received $4 \cdot(k-1)$ markers: For its interior points, $\Delta$ received $k-1$ markers from the second case, and for each non-empty triangle formed by two vertices of $\Delta$ and one point inside $\Delta$, it received one marker from the first case. Observe that at least three of the considered inner triangles are empty, namely the ones spanned by an edge $e$ of $\Delta$ and the interior point closest to $e$. Thus, the first case gives at most $3 \cdot(k-1)$ additional markers, resulting in a total of at most $4 \cdot(k-1)$ markers for $\Delta$. As $\Delta$ was counted $k+2$ times but represents at most three 4 -holes (Lemma 1), we have at most $4 \cdot(k-1)$ markers for at least $(k+2)-3=k-1$ over-counted objects. Thus, in both cases we get at most four times as many markers as over-counted 4 -holes which we may deduct from (1). We therefore can reduce the number of potential 4-holes by one quarter of the distributed markers, namely by $\frac{n-4}{4} \cdot T$. This leads to the improved upper bound

$$
\binom{n}{4}+2 T-\frac{n-4}{4} \cdot T=\binom{n}{4}-\frac{n-12}{4} \cdot T
$$

for the number of 4 -holes. For $n \geq 12$ this is at most $\binom{n}{4}$, the number of 4 -holes for a set of points in convex position. Together with the results from Table 2 for $n=9, \ldots, 11$, this proves the theorem.

Remark. From the proof of the previous theorem it follows that for $n \geq 13$ any non-convex set of $n$ points has strictly less general 4 -holes than the convex set of the same cardinality. Moreover, Theorem 2 is tight in the sense that for $n<9$, the statement is not true.

## 4. Maximizing the Number of Non-Convex 4-Holes

In the previous section we have shown that for $n \geq 9$, the number of general 4-holes is maximized for sets in convex position. This obviously also holds for the number of convex 4-holes.

We now consider maximizing the number of non-convex 4-holes. From Lemma 1 we obtain the following.

Lemma 3. The number of non-convex 4-holes of any set of $n$ points is at most $\frac{n(n-1)(n-2)}{2}=\frac{n^{3}}{2}-\Theta\left(n^{2}\right)$.

Proof. By Lemma 1, any non-empty triangle generates at most three nonconvex 4-holes, and there are at most $\binom{n}{3}$ such triangles in a set of $n$ points.

Theorem 4. For any $m \geq 1$ there exist point sets of cardinality $n=2^{m+1}-2$ that contain $\frac{n^{3}}{2}-\Theta\left(n^{2} \log n\right)$ non-convex 4 -holes.
Proof. We consider special point sets $\mathcal{X}_{m}, m \geq 1$, with $\left|\mathcal{X}_{m}\right|=n=2^{m+1}-2$ points, that have been introduced in [17]. The point sets are defined recursively in layers, starting with two points $\mathcal{X}_{1}:=\mathcal{R}_{1}$ in the first layer. An additional layer $\mathcal{R}_{i}$ is added to $\mathcal{X}_{i-1}:=\mathcal{R}_{1} \cup \cdots \cup \mathcal{R}_{i-1}$ by placing two new points close to each point in $\mathcal{R}_{i-1}$ outside the convex hull of $\mathcal{X}_{i-1}$, such that the following conditions hold:

1. $\mathcal{X}_{i}=\mathcal{R}_{1} \cup \cdots \cup \mathcal{R}_{i}$ is in general position,
2. the points in $\mathcal{R}_{i}$ are precisely the extremal points (i.e., vertices on the convex hull) of $\mathcal{X}_{i}$, and
3. any triangle determined by $\mathcal{R}_{i}$ contains precisely one point of $\mathcal{X}_{i}$ in its interior.

See Figure 3 for an example and [17] for a detailed description of the construction. Furthermore, in [17] it is shown that every triangle spanned by $\mathcal{X}_{m}$ contains at most one interior point of $\mathcal{X}_{m}$; i.e., every non-empty triangle of $\mathcal{X}_{m}$ contains exactly one point. Thus, using Lemma 1 , the number of non-convex 4 -holes of $\mathcal{X}_{m}$ is three times the number of non-empty triangles.


Figure 3: Sketch of the special point set defined in [17], for $m=4$.

For each point $x \in \mathcal{R}_{i-1}, 2 \leq i \leq m$, we will count the number of triangles that contain $x$ in its interior. Note that points in $\mathcal{R}_{m}$ are extremal points of $\mathcal{X}_{m}$ and thus not in the interior of any triangle spanned by points of $\mathcal{X}_{m}$. To this end, we consider the two points in the next layer $\mathcal{R}_{i}$ which are closest to $x$. We denote by $\mathcal{A}_{x}$ and $\mathcal{B}_{x}$ the subsets of $\mathcal{X}_{m}$ which consist of one of these points of $\mathcal{R}_{i}$, respectively, plus all points in outer layers $\mathcal{R}_{j}(j>i)$ which were subsequently added to this point of $\mathcal{R}_{i}$ by the construction. We say that $\mathcal{A}_{x}$ and $\mathcal{B}_{x}$ are the induced subsets of $x$, and that $\mathcal{C}_{x}:=\mathcal{X}_{m} \backslash\left\{\mathcal{A}_{x} \cup \mathcal{B}_{x} \cup\{x\}\right\}$ is the remainder (of $\mathcal{X}_{m}$ ) for $x$.

First, fix a point in the first layer $\mathcal{R}_{1}$, say $p$ in Figure 3. Any triangle containing $p$ in its interior is formed by one point of $\mathcal{A}_{p}$, one point of $\mathcal{B}_{p}$, and one point of the remainder $\mathcal{C}_{p}$. As $a_{1}:=\left|\mathcal{A}_{p}\right|=\left|\mathcal{B}_{p}\right|=\frac{n-2}{4}$ and $c_{1}:=\left|\mathcal{C}_{p}\right|=$ $n-2 \cdot a_{1}-1=\frac{n}{2}$, this gives $a_{1}^{2} \cdot c_{1}$ triangles containing $p$ in the interior, and thus the number of triangles containing a point of $\mathcal{R}_{1}$ in the interior is $2 \cdot a_{1}^{2} \cdot c_{1}=2 \cdot\left(\frac{n-2}{4}\right)^{2} \cdot \frac{n}{2}$.

Now consider a point $q$ in the second layer $\mathcal{R}_{2}$. Its induced subsets $\mathcal{A}_{q}$ and $\mathcal{B}_{q}$ have size $a_{2}=\frac{n-6}{8}$, and the remainder $\mathcal{C}_{q}$ has $c_{2}=n-2 \cdot a_{2}-1=\frac{3 n+2}{4}$ points. In combination with $r_{2}:=\left|\mathcal{R}_{2}\right|=4$ this gives a total of $4 \cdot\left(\frac{n-6}{8}\right)^{2} \cdot \frac{3 n+2}{4}$ triangles containing a point of $\mathcal{R}_{2}$ in the interior.

In general, $\left|\mathcal{R}_{i}\right|=r_{i}=2^{i}$, and the size of the two induced subsets of a point $p_{i}$ in $\mathcal{R}_{i}$ is

$$
a_{i}=\frac{1}{r_{i+1}}\left(n-\left|\mathcal{X}_{i}\right|\right)=\frac{n-\left(2^{i+1}-2\right)}{2^{i+1}} .
$$

Thus with the size of the corresponding remainder $\mathcal{C}_{p_{i}}$ of

$$
c_{i}=n-2 \cdot a_{i}-1=\frac{\left(2^{i}-1\right) n+2^{i}-2}{2^{i}},
$$

we get $r_{i} \cdot a_{i}^{2} \cdot c_{i}$ triangles containing one point of $\mathcal{R}_{i}$ in the interior.
Using that every non-empty triangle of $\mathcal{X}_{m}$ gives three non-convex 4 -holes, and summing up over all layers $\mathcal{R}_{i}$, we obtain

$$
\begin{aligned}
3 \cdot \sum_{i=1}^{m} r_{i} \cdot a_{i}^{2} \cdot c_{i}= & 3 \cdot \sum_{i=1}^{m} 2^{i}\left(\frac{n-\left(2^{i+1}-2\right)}{2^{i+1}}\right)^{2} \frac{\left(2^{i}-1\right) n+2^{i}-2}{2^{i}} \\
= & \frac{1}{2} n^{3}-3 n^{2} \log _{2}(n+2)+\frac{39}{4} n^{2} \\
& \quad-12 n \log _{2}(n+2)+\frac{41}{2} n-12 \log _{2}(n+2)+12
\end{aligned}
$$

for the total number of non-convex 4 -holes of $\mathcal{X}_{m}$.

## 5. Minimizing the Number of (General) 4-Holes

As already mentioned, we have $\frac{n^{2}}{2}-\frac{9}{4} n-o(n) \leq h_{4}(n) \leq 1.9397 n^{2}+o\left(n^{2}\right)$ for the minimal number $h_{4}(n)$ of convex 4-holes. For non-convex 4-holes, the lower bound trivially is zero. In the following we will show a lower bound for the number of (general) 4-holes.

By checking all order types of cardinality eight from the order type data base $[6,2]$, we obtained the following observation for general 4 -holes.

Observation 5. Let $S$ be a set of $n=8$ points in the plane in general position, and $p_{1}, p_{2} \in S$ two arbitrary points of $S$. Then $S$ contains at least five 4-holes having $p_{1}$ and $p_{2}$ among their vertices.

Let us make a few remarks on this observation. On the one hand, consider any set $S$ with $n \geq 8$ points, and any two points $p_{1}, p_{2} \in S$. Then $p_{1}$ and $p_{2}$ together with the six points of $S \backslash\left\{p_{1}, p_{2}\right\}$ that are closest to the segment


Figure 4: A point set containing only five 4-holes (one convex and four non-convex) that have both $p_{1}$ and $p_{2}$ as a vertex.
$p_{1} p_{2}$ form a set $S^{\prime}$ of eight points. Obviously, the convex hull of $S^{\prime}$ does not contain any points from $S \backslash S^{\prime}$. By Observation $5, S^{\prime}$ contains at least five 4 -holes having $p_{1}$ and $p_{2}$ among its vertices and thus $S$ does as well.

On the other hand, there exist arbitrarily large point sets $S$ such that there exist points $p_{1}, p_{2} \in S$ which are contained in at most five 4 -holes. For example, given the point set shown in Figure 4, we consider 4-holes having both $p_{1}$ and $p_{2}$ as a vertex. Note that such a 4 -hole cannot contain any of the points $p_{7}, \ldots, p_{n}$. The reason is that every triangle $p_{1} p_{2} p_{k}, 7 \leq k \leq n$, contains $p_{3}, \ldots, p_{6}$ and thus cannot be completed to a 4 -hole; cf. the proof of Lemma 1. Thus, the vertex set of any 4 -hole in $S$ having both $p_{1}$ and $p_{2}$ as a vertex is a subset of $\left\{p_{1}, \ldots, p_{6}\right\}$. As $\left\{p_{1}, \ldots, p_{6}\right\}$ contains only five such 4 -holes, Observation 5 is tight in that respect also for $n>8$.

Moreover, for $n \leq 7$, there are point sets $S_{n}$ and points $p_{1}, p_{2} \in S_{n}$ for which only $n-3<54$-holes are incident to both, $p_{1}$ and $p_{2}$. Figure 5 shows an example with 7 points. According examples with less points can be derived by disregarding the points with higher indices. Also, adding an $8^{t h}$ point on the chain yields the second 8 -point order type having only five 4 -holes incident to both $p_{1}$ and $p_{2}$.

Based on Observation 5, we can derive a lower bound for the number of general 4-holes. Note that there exist sets which contain fewer than $1.94 n^{2}$ convex 4 -holes, while by the following result any set contains at least $2.5 n^{2}-$ $\Theta(n)$ general 4-holes.


Figure 5: A 7-point set containing only four 4-holes (all convex) that have both $p_{1}$ and $p_{2}$ as a vertex.

Theorem 6. Let $S$ be a set of $n \geq 8$ points in the plane in general position. Then $S$ contains at least $\frac{5}{2} n^{2}-\Theta(n) 4$-holes.

Proof. Assume w.l.o.g. that no two points of the set $S$ have identical $x$ coordinates. We consider $S$ in $x$-sorted order, $S=\left\{p_{1}, \ldots, p_{n}\right\}$, and define subsets $S_{i, j}=\left\{p_{i}, \ldots, p_{j}\right\} \subseteq S$. The number of sets $S_{i, j}$ having at least 8 points is

$$
\sum_{i=1}^{n-7} \sum_{j=i+7}^{n} 1=\sum_{i=1}^{n-7}(n-i-6)=\frac{n^{2}}{2}-\frac{13}{2} n+21
$$

By Observation 5, each set $S_{i, j}$ contains at least five 4-holes having $p_{i}$ and $p_{j}$ among their vertices. Moreover, as $p_{i}$ and $p_{j}$ are the left- and rightmost points of $S_{i, j}$, they are also the left- and rightmost points for each of these 4 -holes. This implies that any 4 -hole of $S$ counts for at most one set $S_{i, j}$, which gives a lower bound of $\frac{5}{2} n^{2}-\Theta(n)$ for the number of 4 -holes in $S$.

The principle of the proof of the above theorem can also be used to obtain an asymptotic lower bound of $\Omega\left(n^{2}\right)$ on the number of $k$-holes (for any $c<1$ and $3 \leq k \leq c \cdot n$ ) [3]. Expectedly, such a generalization cannot provide specific constants, like the dominant factor of $\frac{5}{2}$ in Theorem 6 , which is obtained by using Observation 5.

## 6. Conclusion

We have shown lower and upper bounds on the numbers of general and non-convex 4 -holes in point sets; see again Table 1 on page 3.

Several questions remain open, of which the following is perhaps the most intriguing: What is the minimum number of general 4-holes that any $n$-element point set in general position must have? In Section 5 we have shown a quadratic lower bound for this number. By Proposition 7 below,
a super-quadratic lower bound would solve a conjecture of Bárány in the affirmative, showing that every point set in general position contains a pair of points that is incident to a super-constant number of 3 -holes; see e.g. [8], Chapter 8.4, Problem 4.

Proposition 7. Assume that a point set $S$ in general position contains a super-quadratic number of general 4-holes. Then $S$ also contains a pair of points that is incident to a super-constant number of 3-holes.

Proof. Consider two arbitrary points $p, q \in S$ and let $\diamond(p, q)$ be the number of 4 -holes in $S$ for which $p q$ is an interior diagonal. A 4 -hole counted by $\diamond(p, q)$ consists of two empty triangles which lie on opposite sides of $p q$ and both have $p q$ as an edge. Let $\Delta_{1}(p, q)$ and $\Delta_{2}(p, q)$ be the numbers of empty triangles incident to $p q$ on each of the two sides of $p q$, respectively. Then $\diamond(p, q)=\Delta_{1}(p, q) \cdot \Delta_{2}(p, q)$.

A convex 4 -hole has two interior diagonals, while a non-convex 4 -hole has only one. Hence, summing up $\diamond(p, q)$ over all pairs of points $p, q \in S$ gives twice the number of convex plus once the number of non-convex 4-holes in $S$, which is at least the number of general 4-holes in $S$ and thus superquadratic. This implies that $\diamond\left(p^{*}, q^{*}\right)=\max \{\diamond(p, q): p, q \in S, p \neq q\}$ is super-constant. Thus, the number of empty triangles incident to $p^{*} q^{*}$, which is $\Delta_{1}\left(p^{*}, q^{*}\right)+\Delta_{2}\left(p^{*}, q^{*}\right) \geq 2 \cdot \sqrt{\diamond\left(p^{*}, q^{*}\right)}$, is super-constant as well.

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